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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 5

Complete if Known	
Application Number	09/935,995
Filing Date	August 23, 2001
First Named Inventor	Smalley, et al.
Art Unit	1773
Examiner Name	Hoa T. Le
Attorney Docket Number	11321-P014US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,560,898	10/01/96	Uchida, et al.	
	2	US- 5,653,996	08/05/97	Hsu	
	3	US- 6,183,714	02/06/01	Smalley, et al.	
	4	US- 6,250,984	06/26/01	Jin, et al.	
	5	US- 6,322,713	11/27/01	Choi, et al.	
	6	US- 6,333,598	12/25/01	Hsu, et al.	
	7	US- 6,623,337	09/23/03	Scott, et al.	
	8	US- 6,712,864	03/30/04	Horiuchi, et al.	
	9	US- 2002/0150529	10/17/02	Dillon, et al.	
	10	US- 60/227,184	08/23/00	Kuper	
	11	US- 60/268,228	02/12/01	Smalley, et al.	
	12	US- 60/284,419	04/17/02	Hauge, et al.	
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	13	WO 00/17102	03/30/2000	Smalley, et al.		
	14	WO 00/17101	03/30/2000	Margrave, et al.		
	15	WO 00/26138	05/11/2000	Smalley, et al.		
	16	WO 98/39250	09/11/98	Smalley, et al.		

Examiner Signature	H T Le	Date Considered	09/05

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	17	Ajayan et al., "Growth morphologies during cobalt catalyzed single-shell carbon nanotube synthesis," Chem. Phys. Lett., Vol. 215, p. 509 (1993)	
	18	Ausman, et al. , "Organic solvent dispersions of single-walled carbon nanotubes...", 104 J. Phys. Chem. B (2000), pp. 8911	
	19	Bethune et al., "Cobalt catalyzed growth of carbon nanotubes with single atomic layer walls," Nature, Vol. 63, p. 605 (1993)	
	20	Boul, et al., "Reversible sidewall functionalization of buckytubes" 310 Chem. Phys. Lett. (1999), pp. 367-372	
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	22	Dresselhaus, G. et al., Science of Fullerenes and Carbon Nanotubes, Chap. 19, (1996), pp. 756-760	
	23	Ebbesen et al. "Large-scale synthesis of carbon nanotubes," 358 Nature, (July 16, 1992), pp. 220-222	
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	28	Iijima et al., "Helical microtubules of graphitic carbon," 354 Nature (November 7, 1991), pp. 56-58	
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	30	Jing-Kong et al., " Nanotube Molecular Wires as Chemical Sensors", 287 Science (2000), pp. 622	
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	34	Liu, et al., "Controlled deposition of individual single-walled carbon nanotubes on chemically functionalized templates", 303 Chem. Phys. Lett. (1999), pp. 125-129	
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	37	Riggs et al., "Optical limiting properties of suspended and solubilized carbon nanotubes", 104 J. Phys. Chem B (2000), pp. 7071-7076	
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	47	Venema, et al., "Imaging electron wave functions of quantized energy levels in carbon nanotubes", 283 Science (1999), pp. 52-55	
	48	Yakobson and Smalley, "Fullerene nanotubes: C1,000,000 and beyond", 85 Am. Sci.(1997), pp. 324-337	
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